# Neutrality some of its deviations 

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## Goals

- Learn about the population genetics view of the life cycle
- A few Pop Gen summary statistics
- Revisit Hardy-Weinberg Equilibrium - Assumptions \& violations


## Basic Biology of Human Genome

## Functional non-coding mutations



## Life Cycle



# Modern Human Genomics: A CASE FOR RARE VARIANTS? 

$$
1.1 \times 10^{-8} \times 6 \times 10^{9}=66 \text { [muts } / \text { person] }
$$

$$
\begin{aligned}
& 66 \quad[\mathrm{muts} / \mathrm{p}] \\
& \times \quad 130 \mathrm{M}[\mathrm{p} / \mathrm{y}] \\
& \div \quad 3 \mathrm{~B}[\mathrm{bp}] \\
& \hline 2.86 \mathrm{muts} / \mathrm{bp} / \mathrm{yr}
\end{aligned}
$$

## SEQUENCING DATA

| Chromosome | SNP 1 | SNP 2 | SNP 3 | SNP 4 | SNP 5 | SNP 6 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | A | C | A | G | C | C |
| 2 | A | T | G | A | C | T |
| 3 | G | T | G | A | T | T |
| 4 | A | C | G | A | C | T |
| \# Pairwise <br> differences | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{3}$ | $\mathbf{3}$ | $\mathbf{3}$ | $\mathbf{3}$ |

$$
\pi=\text { average pairwise diversity }
$$

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| 3 | G | T | G | A | T | T |
| 4 | A | C | G | A | C | T |
| \# Pairwise <br> differences | 3 | 4 | 3 | 3 | 3 | 3 |
| \# Compared | 6 | 6 | 6 | 6 | 6 | 6 |

$$
\pi=\text { average pairwise diversity }
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## SEQUENCING DATA

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| 2 | A | T | G | A | C | T |
| 3 | G | T | G | A | T | T |
| 4 | A | C | G | A | C | T |
| \# Pairwise <br> differences | 3 | 4 | 3 | 3 | 3 | 3 |
| \# Compared | 6 | 6 | 6 | 6 | 6 | 6 |
| Avg. <br> Pairwise Diff | 0.5 | 0.67 | 0.5 | 0.5 | 0.5 | 0.5 |

Number of variants: 6 SNPs
Diversity ( $\pi$ ): 3.1667/L

## DIVERSITY ACROSS POPULATIONS



## SEQUENCING DATA

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| 2 | A | T | G | A | C | T |
| 3 | G | T | G | A | T | T |
| 4 | A | C | G | A | C | T |
| Minor <br> Allele | G | C | A | G | C | T |
| MAF | 0.25 | 0.5 | 0.25 | 0.25 | 0.25 | 0.25 |


| MAF | 5 | 1 |
| :--- | :--- | :--- |



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> | MAF | 5 | 1 |
| :--- | :--- | :--- |



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| 4 | A | C | G | A | C | T |
| Chimp | A | C | A | G | C | T |

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| 1 | A | C | A | G | C | C |
| 2 | A | T | G | A | C | T |
| 3 | G | T | G | A | T | T |
| 4 | A | C | G | A | C | T |
| Chimp | A | C | A | G | C | T |
| Derived count | 1 | 2 | 3 | 3 | 1 | 1 |
|  |  | 2 |  |  | Freq trum | ency |

Derived frequency in sample

## Site-Frequency Spectrum

|  | * |  |  | * | * |  | * | * |  |  | * | * | * | * |  | * | * | * | * |  | * | * | * |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | C |  | A | T | T | C | G | A | A | G | C | G | A | T | C | A | G | G | C | T | A | T | A |
| 2 | c |  | A | T | T | T | G | A | G | A | C | G | A | T | C | A | G | G | C | T | A | T | A |
| 3 | C |  | G | T | T | T | G | A | G | A | C | G | A | T | T | A | G | G | C | C | A | T | A |
| 4 | C |  | A | T | T | C | G | A | G | A | C | G | A | T | C | A | G | G | C | T | A | T | A |
| outgroup | T |  | A | C | C | C | A | G |  | A | G | A | T | A | C | G | C | A | T | T | T | A | T |
|  |  |  | non-coding |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  | = synonymous |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  |  | = nonsynonymous |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

## Site-Frequency Spectrum

The proportion of derived mutations at each frequency in a sample of chromosomes


## The Effect of Negative Selection

Chromosomes in
a population


## The Effect of Negative Selection

Chromosomes in a population with standing variation

Deleterious
mutations will
arise in the next
generation


Negative selection: the action of natural selection purging deleterious mutations.

## Site-Frequency Spectrum



## SITE-FREQUENCY SPECTRUM



## Majority of human genetic variation is rare



Class Fraction of variants $<1 \%$

Missense
Synonymous
Non-coding
92.6\%
88.5\%
82.3\%

## Observed Effect of Selection



PolyPhen2

## Observed Effect of Selection



## Observed Effect of Selection



## Site-Frequency Spectrum

The proportion of SNPs at each frequency in a sample of chromosomes.


# Site-Frequency Spectrum 

```
\squareNM
- AfAm (Human)
- Ch (RheMac)
\square In (RheMac)
```

$\square$ Rufi (rice)
■ Indica (rice)

- Japonica (rice)
 derived count in sample of 12 chrs.


## Population Genetics

- Imagine a population of diploid individuals

- Principles of random mating:
- Any two individuals are equally likely to mate and reproduce to populate the next generation.
- Either chromosome is equally likely to be passed on.


# Hardy-Weinberg Principle 

- Assumptions:
- Diploid organism
- Sexual reproduction
- Non-overlapping generations
- Only two alleles
- Random mating

- Identical frequencies in males/females
- Infinite population size
- No migration
- No mutation
- No natural selection
- Conclusion I:

Both allele AND genotype frequencies will remain constant at HWE generation after generation... forever!

## Hardy-Weinberg Principle

- Imagine a population of diploid individuals



## Hardy-Weinberg Principle

- Imagine a population of diploid individuals


$$
\begin{aligned}
& p^{2}=0.3025 \\
& 2 p(1-p)=0.495 \\
& (1-p)^{2}=0.2025
\end{aligned}
$$



- Conclusion 2: A single round of random mating will return the population to HWE frequencies!


# Hardy-Weinberg Principle 

- Assumptions:
- Diploid organism
- Sexual reproduction
- Non-overlapping generations
- Only two alleles
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- No natural selection


## Hardy-Weinberg Equilibrium



## Hardy-Weinberg Equilibrium

HapMap YRI (Africans)


Graham Coop

## Genetic Drift

- In finite populations, allele frequencies can and do change over time.
- In fact, EVERY genetic variant will either be lost from the population $(p=0)$ or fixed in the population $(p=1)$ some time in the future.
- The most common model for finite populations is the Wright-Fisher model.
- This model makes explicit use of the binomial distribution.


## Bernoulli Distribution



Jacob Bernoulli 1655-I705

- One of the simplest probability distributions
- A discrete probability distribution
- Classic example: tossing a coin
- If a coin toss comes up heads with probability $p$, it results in tails with probability 1-p.
- If $X$ is a Bernoulli Random Variable, $x$ is an observation we write:

$$
f(x \mid p)= \begin{cases}p & \text { if } x=1 \\ 1-p & \text { if } x=0\end{cases}
$$

- The Expected Value is $E[X]=p$, and the Variance is $V[X]=p(1-p)$.


## Binomial Distribution

- We introduced the Bernoulli Distribution, where we imagine a coin flip resulting in heads with probability $p$.
- But if we flipped the coin $N$ times, how many heads would we expect?
- What is the probability that we get heads all $N$ times?
- The number of "successes" in a fixed number of trials is described by the Binomial Distribution.
- Written out, if the probability of each success is $p$, then the probability we observe $j$ successes in $N$ trials is:

$$
P(j \mid N, p)=\binom{N}{j} p^{j}(1-p)^{N-j} ;\binom{N}{j}=\frac{N!}{j!(N-j)!}
$$

## Binomial Mean and Variance

- The mean of a Binomial Random Variable is:
- $E[J]=N p$
- With variance:
- $V[J]=p(1-p) / N$


## Wright-Fisher Model



Sewall Wright: 1889-1988


Sir Ronald Fisher 1890-I962

- Suppose a population of N individuals.
- Let $X(\mathrm{t})$ be the \#chromosomes carrying an allele A in generation t :

$$
\begin{aligned}
P(X(t+1)=j \mid X(t)=i) & =\binom{N}{j} p^{j}(1-p)^{N-j} \\
=\operatorname{Bin}(j \mid N, i / N) & =\binom{N}{j}\left(\frac{i}{N}\right)^{j}\left(\frac{N-i}{N}\right)^{N-j}
\end{aligned}
$$

## Wright-Fisher Model ( $\mathrm{N}=100$ )











## Demographic Effects

- What do you think will happen if a population grows? Or shrinks?


## Wright-Fisher Model



Sewall Wright: 1889-1988


Sir Ronald Fisher 1890-I962

- Suppose a population of N individuals.
- Let $X(\mathrm{t})$ be the \#chromosomes carrying an allele A in generation t :

$$
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=\operatorname{Bin}(j \mid N, i / N) & =\binom{N}{j}\left(\frac{i}{N}\right)^{j}\left(\frac{N-i}{N}\right)^{N-j}
\end{aligned}
$$

## Wright-Fisher Model with Expansion











- $\mathrm{N}_{0}=100$


## Wright-Fisher Model with Contraction











- $\mathrm{N}_{0}=100$


## Hardy-Weinberg Principle

## - Assumptions:

- Diploid organism
- Sexual reproduction
- Non-overlapping generations
- Only two alleles
- Random mating
- Identical frequencies in males/females
- Infinite population size
- No migration
- No mutation
- No natural selection
- What happens when we allow natural selection to occur?
- Allele frequencies can change!


## Natural Selection

- Usually parameterized in terms of a dominance coefficient (h), and a selection coefficient (s), with wildtype fitness set to I:

| Genotype | AA | Aa | aa |
| :---: | :---: | :---: | :---: |
| Frequency | $\mathrm{p}^{2}$ | 2 pq | $\mathrm{q}^{2}$ |
| Fitness | 1 | $1+\mathrm{hs}$ | $1+\mathrm{s}$ |

- $h=I$ is completely dominant
- $\mathrm{h}=0$ is completely recessive
- $h=0.5$ is "genic" selection, or "codominance", or "additive" fitness


## Natural Selection

| Genotype | AA | Aa | aa |
| :---: | :---: | :---: | :---: |
| Frequency | $\mathrm{p}^{2}$ | 2 pq | $\mathrm{q}^{2}$ |
| Fitness | 1 | $1+\mathrm{hs}$ | $1+\mathrm{s}$ |

- How do we model the change in allele frequencies?
- What is fitness?!
- Refers to the average number of offspring individuals with a particular genotype will have.
- Wild-type individuals have on average 1 offspring, while homozygous derived individuals have on average $1+$ s offspring.


## Natural Selection

| Genotype | AA | Aa | aa |
| :---: | :---: | :---: | :---: |
| Frequency | $\mathrm{p}^{2}$ | 2 pq | $\mathrm{q}^{2}$ |
| Fitness | 1 | $1+\mathrm{hs}$ | $1+\mathrm{s}$ |

- In this case, s is the absolute fitness.
- If the population size is fixed, then we need to consider relative fitness.
- That is, how fit is an individual genotype relative to the population.
- For this, we need to know average population fitness!

$$
\bar{w}=p^{2}(1)+2 p q(1+h s)+q^{2}(1+s)=1+s q(2 h p+q)
$$

## Natural Selection

| Genotype | AA | Aa | aa |
| :---: | :---: | :---: | :---: |
| Frequency | $\mathrm{p}^{2}$ | 2 pq | $\mathrm{q}^{2}$ |
| Fitness | 1 | $1+\mathrm{hs}$ | $1+\mathrm{s}$ |

- The expected frequency in the next generation $\left(q^{\prime}\right)$ is then the density of offspring produced by carriers of the derived allele divided by the population fitness:

$$
q^{\prime}=\frac{q^{2}(1+s)+p q(1+h s)}{1+s q(2 h p+q)}
$$

## Natural Selection

- Trajectory of selected allele with various selection coefficients under genic selection ( $h=0.5$ ) in an "infinite" population



## Hardy-Weinberg Principle

- Assumptions:
- Diploid organism
- Sexual reproduction
- Non-overlapping generations
- Only two alleles
- Random mating
- Identical frequencies in males/females
- Infinite population size
- No migration
- No mutation
- No natural selection
- What happens with natural selection in a finite population?
- Directional selection AND drift!


# Natural Selection $\mathrm{N}=100 ; \mathrm{s}=0.1 ; \mathrm{h}=0.5$ 



## Natural Selection



- Estimating the probability of fixation of a new mutation ( $\mathrm{p}_{0}=\mathrm{I} / \mathrm{N}$ )
- 5000 simulations: $\mathrm{N}=100 ; \mathrm{h}=0.5$
${ }_{52} \bullet \operatorname{Pr}\left(\right.$ Fixation $\left.\mid \mathrm{s}=0, \mathrm{p}_{0}\right)=\mathrm{p}_{0}!!$


## Natural Selection

Time-course data from artificial selection/ancient DNA

- Let's estimate some selection coefficients!
- Given 2 alleles at a locus with frequencies $p_{0}$ and $q_{0}$, and fitnesses $w_{1}$ and $w_{2}$ (with $w$ the population-wide fitness).
- Expected freq. in next generation is: $p_{1}=p^{\prime}=p_{0} w_{1} / w$.
- We can then write:

$$
\frac{p_{1}}{q_{1}}=\frac{p_{0} w_{1} / w}{q_{0} w_{2} / w}=\left(\frac{p_{0}}{q_{0}}\right)\left(\frac{w_{1}}{w_{2}}\right)
$$

- Using induction, you could prove for any generation $t$ :

$$
\frac{p_{t}}{q_{t}}=\frac{p_{0} w_{1} / w}{q_{0} w_{2} / w}=\left(\frac{p_{0}}{q_{0}}\right)\left(\frac{w_{1}}{w_{2}}\right)^{t}
$$

## Natural Selection

- Taking the natural log of this equation:

$$
\log \left(\frac{p_{t}}{q_{t}}\right)=\log \left(\frac{w_{1}}{w_{2}}\right) t+\log \left(\frac{p_{0}}{q_{0}}\right)
$$

- Which is now a linear function of $t$, the number of generations.
- Therefore, the ratio of the fitnesses $w_{1} / w_{2}=\mathrm{e}^{\text {slope }}$


## Natural Selection

- Experiment: Set up a population of bacteria in a chemostat, and let them reproduce.
- Sample roughly every 5 generations.
- A slope of 0.139 implies:
$w_{1}=\mathrm{e}^{0.139}=1.15$
- Assume $w_{2}=1$.
- Thus, allele p has a I5\% fitness advantage over allele $q$ !



## Summary

- Hardy-Weinberg Equilibrium requires many assumptions, all of which are routinely violated in natural populations.
- Nevertheless, the vast majority of variants are in HWE.
- Deviations almost always due to technical artifacts!
- Simulating Wright-Fisher models is easy!
- Natural selection changes the expected allele frequency in the next generation.
- But drift still acts in finite populations!

