

# Session 5: Assessing Genetic Variation, Imputation, Principal Component Analysis



Podcast on the Human Genome Project Interview with Dr. Eric Green, NHGRI Director

> <u>https://geneticsunzipped.com/blog/2020/10/22/s322-the-past-present-and-future-of-the-human-genome-project</u>

### **Assessing Genetic Variation: Genotyping vs. Sequencing**





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**Genotyping:** Target a particular genetic variant and "measure" it

Sequencing: Target a region (could be the whole genome) and "measure" the entire region (all base-pairs) From a bioinformatic/analysis point of view, genotyping data is much easier to handle.



### **Genome-wide association studies (GWAS)**

Samples with phenotype data (continuous, case-control) (n = 1000's) Genotype samples with commercial 'chips' • Affymetrix - Random SNP design (v.5, v.6)

Ilumina - TagSNPs plus candidate genes (650Y, 1M)

Screen across the genome for SNPs that are associated with trait (agnostic approach)



## **Genotyping Output**



Li, Nat Comm 2014 Auer, Nat Genet 2014



#### Pricing (CIDR, March 2023)

Illumina Genotyping – GWAS, PGx, PRS							
Glol	bal	Screening		Consortium-Developed			
Global Diversity Array	\$110-\$130	Global or Asian \$75-\$100 Oncoarray \$85-\$110					
Pharmacogenetics Other Consortium Developed Arrays							
Global Diversity Array + PGx\$175Exome Beadchip, DrugDev, H3AfricaArray, ImmunoArray, PsychArray, QCInquire for pricing							
<b>*PLUS OPTIONS: Cu</b>	*PLUS OPTIONS: Custom content can be added to most GWAS and Consortium arrays. Please Inquire for pricing.						

Affymetrix Genotyping - GWAS and Custom						
UK Biobank 821K Axiom Array	~\$150 - \$210					
Custom Array (up to 750K SNPs)	~\$180 - \$240					
Custom Array (up to 50K SNPs)	~\$120 - \$170					



https://cidr.jhmi.edu/xtras/shared/documents/pricing.pdf

#### Imputation (I)

- Cost efficient: Can assess more SNPs than genotyped
  - Input: 550,000 SNPs in 10,000 individuals
  - Reference panel: 2,504 individuals from the 1,000 Genomes project (>80M markers excluding singletons)
  - Output: Imputed data for >80M markers for your 10,000 individuals
- Maximizes sample size
  - Fills in missing values for already genotyped SNPs
- Allows us to combine data from existing platforms that genotype different SNPs

	HumanHap	Affy 6.0	OmniExpress
HumanHap	459,999	126,959	260,661
Affy 6.0		668,283	168,223
OmniExpress			565,810
Lindström, PLoS O	ne 2017 * 3	75,285 markers are	on all 3 platforms

Most imputation methods work under the framework that individual haplotypes are all unique but expected to share contiguous, mosaic stretches with other haplotypes in the sample.





#### Figure 2

An illustration of genotype imputation, showing the process of imputation for a study haplotype ( $S_G$ ) genotyped at 6 markers using a reference panel of sequenced haplotypes at 21 markers. The alleles in  $S_G$  are used to match short segments from the reference panel. For example, in the first genomic segment, the alleles T and G imply that the corresponding segment might have been copied from haplotype  $X_3$ . In the second segment, the alleles A and T imply that haplotype  $X_5$  might have been copied. Proceeding similarly, the study haplotype can be represented as a mosaic of DNA segments from haplotypes  $X_3$ ,  $X_5$ , and  $X_6$ .

Consequently, the missing sites can be imputed to obtain the final imputed haplotype,  $S_{I}$ .

Das, Ann Rev of Genomics and Hum Genet 2018



> Many imputation algorithms employ a Hidden Markov Model (HMM) method

> Software: MACH/minimac, IMPUTE, Beagle

#### > Outputs:

- Posterior probabilities for each potential genotype with three data points per SNP/individual
- "Dosage" of each imputed genotype ranging between 0-2, representing copies of the reference allele (continuous number)





#### Imputation (III)

- > The imputation quality score r<sup>2</sup> measures how well a SNP was imputed.
  - Ranges between 0 and 1.
  - Typically, a cut-off of 0.30 or so will flag most of the poorly imputed SNPs, but only a small number (<1%) of well imputed SNPs.</li>
- > Factors that affect imputation quality:
  - Number of genotyped SNPs in your data
  - Size of reference panel
  - Similarity in genetic ancestry between reference and study samples
  - Allele frequency





💄 bdarst 🔻

#### Genotype Imputation (Minimac4) 1.7.3

This is the new Michigan Imputation Server Pipeline using Minimac4. Documentation can be found here.

If your input data is GRCh37/hg19 please ensure chromosomes are encoded without prefix (e.g. 20). If your input data is GRCh38hg38 please ensure chromosomes are encoded with prefix 'chr' (e.g. chr20).  $\mathscr{O}$  https://imputationserver.readthedocs.io

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Generate Meta-imputation file

I will not attempt to re-identify or contact research participants.

I will report any inadvertent data release, security breach or other data management incident of which I become aware.



#### **TOPMed Imputation Server**

Version r2 includes **97,256** reference samples and **308,107,085** SNVs and indels distributed across the 22 autosomes and the X chromosome.

Population	Ν
African	24,267
Admixed American	17,085
European	47,159
East Asian	1,184
South Asian	644
Not assigned	6,917
Total	97,256



Taliun et al., Nature 2021

Panel - 1000G - HRC - TOPMed





#### Imputation Reference Panels: TOPMed whole-genome sequencing





Number of Genomes

### **Importance of diversity in imputation reference panels** Imputation of a rare African ancestry-specific *HOXB13* variant



Received: 14 October 2019 Accepted: 24 January 2020

DOI: 10.1002/pros.23960

ORIGINAL ARTICLE

The Prostate WILEY

### Mutation HOXB13 c.853delT in Martinican prostate cancer patients

- Exome sequencing of *HOXB13* in 46 early-onset PCa cases
- rs77179853 (X285K) carried by 3 cases
   → stop loss deletion, RAF=0.2% in 1KGP, AFR only

#### Imputation of rs77179853 into large-scale African ancestry GWAS data

			1000 Genomes Project Phase 3		TO	PMed Free	ze 8	
			(3 carrie	rs/ <u>2,504</u> part	ticipants)	(126 carriers/ <u>97,256</u>		participants)
				Control	Case		Control	
Genotyping Array	# Controls	# Cases	Info	Freq	Freq	Info	Freq	Case Freq
AAPC1M	4,642	4,822	0.819	0.24%	0.15%	0.921	0.13%	0.17%
ONCO-AAPC	3,953	4,231	0.748	0.20%	0.21%	0.918	0.11%	0.34%
H3 (California/Uganda Study)	1,048	1,590	0.684	0.15%	0.13%	0.949	0.15%	0.23%
HumanOmni (NCI Ghana Prostate Study)	634	640	0.753	0.16%	0.10%	0.967	0.49%	1.15%
MADCaP	396	405	0.819	0.25%	0.19%	0.941	0.12%	0.88%
	10,673	11,688				Dar	rst et al., E	<i>Eur Urol</i> (2022

#### **Importance of diversity in imputation reference panels** Imputation of a rare African ancestry-specific *HOXB13* variant



### **Breakout Room Discussion:**

- > Explore the breakdown of genetic ancestry in GWAS as reported on the website <u>https://gwasdiversitymonitor.com</u>.
  - What populations seem over- and under-represented in genetic studies?
  - What consequences can this have?
- > What are your ideas for how we can we increase the diversity of study participants in genetic epidemiology?

![](_page_15_Picture_5.jpeg)

# Genomics is failing on diversity

An analysis by **Alice B. Popejoy** and **Stephanie M. Fullerton** indicates that some populations are still being left behind on the road to precision medicine.

![](_page_16_Figure_2.jpeg)

Popejoy and Fullerton, Nature 2016

Martin, Nature Genetics 2019

![](_page_16_Picture_5.jpeg)

#### The Multi-Ethnic Genotyping Array (MEGA) – 1.8M markers

Abbreviated reference	Approximate SNP allocation	Content description	Parameters informing content
		Backbone content	
Infinium HumanCore BeadChip	250,000	Included for backwards compatibility	Highly informative GWAS tag SNPs for EUR or ASN ancestries
African Diaspora Consortium Power Chip	700,000	Augmented GWAS coverage for African ancestries	692 individuals sequenced by CAAPA, highly informative for variants with MAF>2%
Improved cross-population tagging content	300,000	Augmented GWAS coverage for diverse ancestries	New tagging strategy developed by PAGE using 1KGP Phase 3 sequencing, highly informative for variants with MAF<2%
Multiethnic exonic content	400,000	Exome markers for diverse populations	Derived from WGS/WES data from > 36,000 individuals in diverse ethnic groups, emphasizes loss of function and splice variants
NHGRI GWAS catalog	11,631	Markers (tag SNPs) from published GWAS	Includes tag SNPs not reaching genome-wide significance (p<5x10 <sup>-8</sup> ), and SNPs in high LD
SNPs in publications	5,874	SNPs listed in UCSC browser track	Mentioned by rsid number in ≥ 4 publications
Clinical and pharmacogenetic	17,000	All clinically relevant SNPs	Domain expert opinion and those annotated as deleterious
	- 4-3	PAGE Hand Curated Custom (	Content
Validated regulatory SNPs	2,500	Regulatory variants with in vitro differential function in the literature	Differential EMSA, most with differential luciferase or equivalent
Enhanced GWAS	20,000	Improved tag SNP coverage for candidate genes/regions	Minimum r <sup>2</sup> of 0.8 rather than mean r <sup>2</sup> of 0.6 used for backbone
Enhanced Exome	16,000	Improved exonic coverage for candidate genes/regions	All available exonic variants
Fine-mapping	16,000	Fine-mapping coverage for GWAS catalog reports	All SNPs tagged at r <sup>2</sup> > 0.6 in reference population from primary GWAS report
OMIM/Clinvar <sup>a</sup>	Overlaps backbone content	Clinically relevant SNPs related to traits of interest	E.g. hyperlipidemia (LPL, LDLR, etc.), BMI (MC4R, etc.)

![](_page_17_Picture_2.jpeg)

Bien, PLOS ONE 2016

## Sequencing

- > Capture ALL base-pairs in our region of interest
  - Whole genome sequencing, whole exome sequencing, targeted sequencing (e.g., follow up a GWAS signal)
- > More expensive and requires more bioinformatics support than genotyping
- > Exome and targeted sequencing have important limitations they require an initial capture step to target the region(s) of interest.
  - Exome sequencing is often easier than targeted sequencing as it is not as ad hoc (i.e., GWAS region), and the exome has less repetitive regions than the genome as a whole

![](_page_18_Picture_6.jpeg)

# The Human Genome Project (1990-2003) set out to sequence every base pair in the human DNA

![](_page_19_Picture_1.jpeg)

Led by Craig Venter (Celera Genomics)

Led by Francis Collins (NIH)

![](_page_20_Picture_0.jpeg)

#### SCIENCE VOLUME 376 ISSUE 6588 1 APR 2022

#### **COVER**

The Telomere-to-Telomere (T2T) Consortium has completed a challenging 8% of the human genome left unresolved by the initial Human Genome Project. In this data visualization, each chromosome begins at bottom right and wraps around, with chromosomes X and 1 through 22 arranged from the outside in (chromosome Y is not shown). The newly completed regions are highlighted in red.

~200M bp of novel sequence (total: 3,117,292,070 bp) 115 new protein coding genes (total 19,969 genes)

"Although CHM13 represents a complete human haplotype, <u>it does not capture</u> <u>the full diversity of human genetic variation</u>. To address this bias, the Human Pangenome Reference Consortium has joined with the T2T Consortium to build a collection of high-quality reference haplotypes from a diverse set of samples."

### Sequencing alignment and depth

#### > Depth: The number of times a base-pair is sequenced

![](_page_21_Figure_2.jpeg)

![](_page_21_Picture_3.jpeg)

Paired end sequencing: Distance between each end is known, making alignment algorithms easier.

![](_page_22_Figure_0.jpeg)

Mean genome-wide coverage

#### Practical roadblocks to genome sequencing

### Sequencing cost per genome is currently ~\$1,000

# Sequencing one genome generates ~200 GB data

![](_page_23_Figure_3.jpeg)

![](_page_23_Picture_4.jpeg)

#### **Pricing Sequencing (CIDR, March 2023)**

Illumina Sequencing						
Whole Genome	Inquire for pricing					
Whole Genome (30X) >96 samples		\$1,000 (saliva DNA source \$1,250)				
Whole Exome	~\$300-\$450 sample number dependent					
Whole Exome, FFPE D	\$625-\$850 Sample number dependent					
Whole Exome Plu	Inquire for pricing					
Custom Targeted (50	~\$150 - \$1000					
Custom Targeted (ar	nplicon; 10 – 250kb)	~\$80-~\$200				
*Please Inquire for other options. If FFPE DNA Source, costs increase ~ 25%.						

https://cidr.jhmi.edu/xtras/shared/documents/pricing.pdf

![](_page_24_Picture_3.jpeg)

![](_page_25_Picture_0.jpeg)

# Estimating ancestry using genetic data

![](_page_25_Picture_2.jpeg)

#### Definitions

#### What is Race?

A sociopolitically constructed system for classifying and ranking human beings according to subjective beliefs about shared ancestry based on perceived innate biological similarities; the system varies globally.

#### What is Ethnicity?

A sociopolitically constructed system for classifying human beings according to claims of shared heritage often based on perceived cultural similarities (e.g., language, religion, beliefs); the system varies globally.

National Academies of Sciences, Engineering, and Medicine. 2023. Using Population Descriptors in Genetics and Genomics Research: A New Framework for an Evolving Field.

![](_page_26_Picture_6.jpeg)

#### What is ancestry?

#### **Genealogical ancestry**

Genealogical ancestry describes information about your ancestors from whom you are biologically descended. If one of your ancestors belonged to a particular group X, you might say that you have some "X" ancestry. For example, if one of your four grandparents was Swedish you might describe yourself as "one fourth Swede".

#### **Genetic ancestry**

The paths through an individual's family tree by which they have inherited DNA from specific ancestors. Genetic ancestry can be thought of in terms of lines extending upwards in a family tree from an individual through their genetic ancestors. Shared genetic ancestry arises from having genetic ancestors in common (that is, overlapping lines of ancestry). In practice, shared genetic ancestry is typically inferred by some measure(s) of genetic similarity.

The difference between *genealogical* and *genetic* ancestry can be illustrated by full siblings. Full siblings have identical genealogical ancestry but differ in their genetic ancestry, due to differences in transmission of chromosomal segments from their parents.

Mathieson I and Scally A. PLoS Genet, 2020 National Academies of Sciences, Engineering, and Medicine. 2023. Using Population Descriptors in Genetics and Genomics Research: A New Framework for an Evolving Field.

![](_page_27_Picture_7.jpeg)

#### Assume we conduct a case-control GWAS...

- > Our cases were collected in Africa
- > Our controls were collected in Asia
- > If we identify multiple alleles that are significantly more common in cases compared to controls, do we believe that these results are due to association with disease or due to population differences?

#### **Population Stratification - Confounding by ancestry**

![](_page_29_Figure_1.jpeg)

# Group differences in ancestry AND outcome

We can use genetic data to derive ancestryinformed covariates and adjust for these in our association studies.

![](_page_29_Picture_4.jpeg)

Marchini, Cardon et al. 2004; Price, Patterson et al. 2006

### **Principal Component Analysis (PCA)**

- > Reduces the dimension of the data from MANY SNPs to a small set of principal components (PCs) that can explain most of the variation in the data
- > The first PC (PC1) is constructed to explain as much of the variation as possible, the second PC (PC2) is constructed to explain as much of the remaining variation as possible, ...
- > The more correlation in the data (i.e., between SNPs), the fewer PCs are needed to explain high proportions of the variation.
- > Each PC is a linear combination of all SNPs, and PCs are indepenent of each other

![](_page_30_Figure_5.jpeg)

# The first two PCs can help distinguish populations between continents

![](_page_31_Figure_1.jpeg)

Hou, PLoS One 2011

# The first two PCs can help distinguish populations between continents

![](_page_32_Figure_1.jpeg)

Ancestry informative markers (AIMs): Few variants selected to capture major variation between select populations Nowadays, ideally, we use ~10K – 100K variants (depending on the study goal) selected from GWAS data --Variant selection criteria: common (e.g., MAF>5%), independent (e.g., r<sup>2</sup><10%), and either genotyped directly or imputed with r<sup>2</sup>>0.9

- > Translate each genotype into 0, 1, 2 depending on how many variant alleles an individual carries (e.g., AA- 0, AG – 1, GG - 2)
- > Multiply that genotype value by the loading value for each SNP
- > Sum over all SNPs to get a final PC value for that individual

Individual	SNP1 Loading = 4	SNP2 Loading = 0.3	SNP3 Loading = -2	SNP4 Loading = 1	PC1 total
A	2	1	1	0	
В	1	0	2	1	

- > Translate each genotype into 0, 1, 2 depending on how many variant alleles an individual carries (e.g., AA- 0, AG – 1, GG - 2)
- > Multiply that genotype value by the loading value for each SNP
- > Sum over all SNPs to get a final PC value for that individual

Individual	SNP1 Loading = 4	SNP2 Loading = 0.3	SNP3 Loading = -2	SNP4 Loading = 1	PC1 total
A	2*4 = 8	1*0.3 = 0.3	1*-2 = -2	0*1=0	
В	1*4 = 4	0	2*-2 = -4	1*1=1	

- > Translate each genotype into 0, 1, 2 depending on how many variant alleles an individual carries (e.g., AA- 0, AG – 1, GG - 2)
- > Multiply that genotype value by the loading value for each SNP
- > Sum over all SNPs to get a final PC value for that individual

Individual	SNP1 Loading = 4	SNP2 Loading = 0.3	SNP3 Loading = -2	SNP4 Loading = 1	PC1 total
A	2*4 = 8	1*0.3 = 0.3	1*-2 = -2	0*1=0	6.3
В	1*4 = 4	0	2*-2 = -4	1*1=1	1

#### Include PCs in your genetic association study

 $\mathbf{Y} = \beta_{G} * \mathbf{genotype} + \beta_{1} \mathbf{PC1} + \beta_{2} \mathbf{PC2} + \beta_{3} \mathbf{PC3} + \beta_{4} \mathbf{PC4} + \beta_{5} \mathbf{PC5}$ 

- > Accounts for underlying patterns in the population that are not truly associated with a particular phenotype but may appear to be so due to differences in allele frequency and trait distribution associated with ancestry.
- > PCA has become a standard tool to investigate genetic ancestry patterns in genome-wide data. We can use PCs for
  - Population genetics
  - Identify "population outliers"
  - Identify any other structure that is not obvious

![](_page_36_Picture_7.jpeg)

#### **Breakout Activity**

What populations are separated by PC1? And by PC2?

Why do we see clustering of three populations (blue, red, green), while the black circles are spread across the PC1 axis?

Notice the red dot in the lower left corner among the blue dots. What might be happening here?

Where on this plot might you see people who describe their ancestry as Chinese American (ancestors from both European and Chinese populations)?

Can we use PCs to sufficiently account for the observed population structure across these four populations in regression models?

What are pros/cons of using self-described race vs genetic ancestry in epidemiology studies? Think of what each can tell you based on the questions you are trying to ask.

![](_page_37_Figure_7.jpeg)

Principal component 1

PCA plot of African Americans from the Southeast (**AAS**), Europeans from Utah (**CEU**), Yorubans from Nigeria (**YRI**), and Han Chinese from Beijing (**HCN**). Each dot represents one person, and each person is color-coded based on their self-described race.

#### PCA in Reference Sample

### What about population structure within continents? Population structure in Africa

![](_page_38_Figure_1.jpeg)

![](_page_39_Figure_0.jpeg)

1,387 samples ~200,000 SNPs

![](_page_39_Figure_2.jpeg)

Novembre et al, Nature 2008

#### 23andMe Ancestry Composition

#### Reference data

400,000 reference individuals with ancestry from >150 countries/2,000 subregions

People who report four grandparents all born in the same country

**Ancestry Composition** Burcu 100% Western Asian & North African 50.1% Northern West Asian 50.1% **~** Iranian, Caucasian & 50.1% > Mesopotamian Rize, Turkey (eastern provinces) +2 regions European 48.9% Northwestern European 48.3%  $\vee$ British & Irish 24.8% > England +10 regions • French & German 23.1% > 0.2% > Finnish Broadly Northwestern European 0.2% ∨ 0.6% > Eastern European Sub-Saharan African 0.8% West African 0.8%  $\vee$ Ghanaian, Liberian & Sierra 0.8% > Leonean **Trace Ancestry** 0.1% ~ Unassigned 0.1% ~ Updated: January 16, 2023 🕕

![](_page_40_Picture_5.jpeg)